

11055 U.S. PTO
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08-10-01

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Please type a plus sign (+) inside this box ☐

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0002045.0001
First Inventor Narasimhan et al.
Title SYSTEM AND METHOD FOR COLLECTING, ...
Express Mail Label No. EI367795487US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 39]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ /

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Thomas J. Parker				
	PATENT & TRADEMARK OFFICE				
	Coudert Brothers				
Address	1114 Avenue of the Americas				
City	New York	State	NY	Zip Code	10036
Country	U.S.A.	Telephone	(212) 626-4187	Fax	(212) 626-4120

Name (Print/Type) Thomas J. Parker

Registration No. (Attorney/Agent)

42,062

Signature

Thomas J. Parker

Date

August 9, 2001

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PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$799.00

Complete if Known

Application Number	TBA
Filing Date	Augst 9, 2001
First Named Inventor	Narasimhan et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	0002045.0001

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1434**
Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	710	355			Utility filing fee	355.00
106	206	320	160			Design filing fee	
107	207	490	245			Plant filing fee	
108	208	710	355			Reissue filing fee	
114	214	150	75			Provisional filing fee	
SUBTOTAL (1)							\$355.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
56	-20** = 36	9.00	324.00
5	-3** = 2	40.00	80.00
Multiple Dependent		49.00	404.00

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	9			Claims in excess of 20	
102	202	80	40			Independent claims in excess of 3	
104	204	270	135			Multiple dependent claim, if not paid	
109	209	80	40			** Reissue independent claims over original patent	
110	210	18	9			** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)							\$404.00

**or number previously paid, if greater; For Reissues, see above

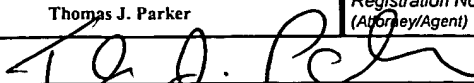
FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65			Surcharge - late filing fee or oath	
127	227	50	25			Surcharge - late provisional filing fee or cover sheet	
139	239	130	130			Non - English specification	
147	247	2,520	2,520			For filing a request for <i>ex parte</i> reexamination	
112	212	920*	920*			Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840*			Requesting publication of SIR after Examiner action	
115	215	110	55			Extension for reply within first month	
116	216	390	195			Extension for reply within second month	
117	217	890	445			Extension for reply within third month	
118	218	1,390	695			Extension for reply within fourth month	
128	228	1,890	945			Extension for reply within fifth month	
119	219	310	155			Notice of Appeal	
120	220	310	155			Filing a brief in support of an appeal	
121	221	270	135			Request for oral hearing	
138	238	1,510	1,510			Petition to institute a public use proceeding	
140	240	110	55			Petition to revive - unavoidable	
141	241	1,240	620			Petition to revive - unintentional	
142	242	1,240	620			Utility issue fee (or reissue)	
143	243	440	220			Design issue fee	
144	244	600	300			Plant issue fee	
122	222	130	130			Petitions to the Commissioner	
123	223	50	50			Processing fee under 37 CFR § 1.17(q)	
126	226	180	180			Submission of Information Disclosure Statement	
581	581	40	40			Recording each patent assignment per property (times number of properties)	
146	246	710	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	710	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	710	355			Request for Continued Examination (RCE)	
169	269	900	900			Request for expedited examination of a design application	
Other fee (specify) <u>Assignment Filing Fee</u>							40.00
SUBTOTAL (3)							\$40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type)	Thomas J. Parker	Registration No. (Attorney/Agent)	42,062	Telephone	(212) 626-4187
Signature			Date	August 9, 2001	

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

Invention:

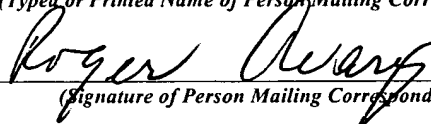
**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Utility Patent Application Transmittal Form

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 9, 20001*(Date)*Roger Avary*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Narasimhan et al.

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Group Art Unit

TBA

Invention:

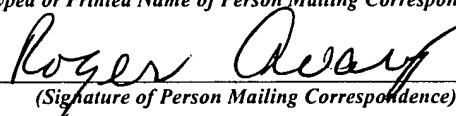
**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

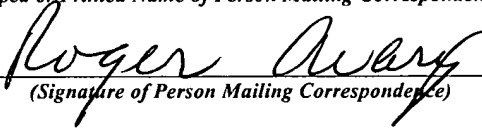
Fee Transmittal Form for FY 2000

(Identify type of correspondence)

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37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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TOP SECRET

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No. 0002045.0001
Applicant(s): Narasimhan et al.			
Serial No. TBA	Filing Date August 9, 2001	Examiner TBA	Group Art Unit TBA
Invention: SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION USING A VOICE AND DATA BASE SYSTEM			
I hereby certify that the following correspondence:			
<div>Declaration for Utility Patent Application</div> <p><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p><u>August 9, 2001</u> <i>(Date)</i></p> <div><div>Roger Avary</div><div><i>(Typed or Printed Name of Person Mailing Correspondence)</i></div><div></div><div><i>(Signature of Person Mailing Correspondence)</i></div><div>EI367795487US</div><div><i>("Express Mail" Mailing Label Number)</i></div></div>			
Note: Each paper must have its own certificate of mailing.			

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

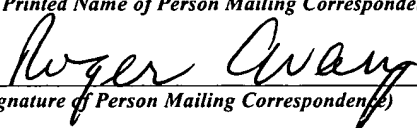
Invention: **SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Specification 28 page (s), Claims 11 page(s), Abstract 1 page(s); and Figures 1-10 (10 sheets)

(Identify type of correspondence)

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August 9, 2001*(Date)*Roger Avary*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

Invention:

**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Assignment of Rights in Invention*(Identify type of correspondence)*

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*(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

Invention:

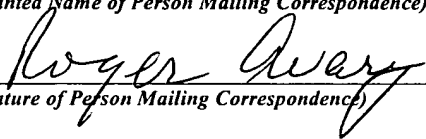
**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Power of Attorney

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 9, 20001*(Date)*Roger Avary*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**